



#4
1764

396.40405X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): NINOMIYA, et al.
Serial No.: 09/918,648
Filed: August 1, 2001
For: PROCESS FOR RECOVERING
DITRIMETHYLOLPROPANE
Group: 1764
Examiner: V. Manoharan

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 & 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

April 2, 2002

Sir:

RECEIVED
APR 05 2002
TC 1700

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an European Search Report in connection with a European patent application corresponding to the above-identified application. Also enclosed are copies of each of the documents cited in this European Search Report. Further enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents cited in this European Search Report.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, but prior to a Final rejection or Notice of Allowance, or any other action closing

prosecution on the merits in the above-identified application. For satisfying requirements of 37 CFR 1.97(c), the following statement is made under 37 CFR 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with all documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 396.40405X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY/STOUT & KRAUS, LLP



William I. Solomon
Registration No. 28,565

WIS/slk
Tel.: 703-312-6600
Enclosures